



Institute of Management
JK Lakshmipat University, Jaipur
END TERM EXAMINATION -April 2024, BBA 2022-25

Financial Securities & Investments

Time 90 minutes

Subject Code FA1128

MM 30 Marks

Roll Number

Please write short and crisp answers, any answer more than 5 lines will attract negative marking... Answer any 6 questions, 5 marks each

1. Please answer one topic that your group has not presented
What lessons can be learnt from wealth erosion suffered by Nicholas Cage? OR
How does "Smallcase" help you invest differently? (LO3/4/5)
2. What are your key learnings from retirement planning assignment done by you? (LO1/2/3)
3. Why are both SIP and SWP important in your financial journey? Please explain with one example. (LO2)
4. Is real estate a good investment? Discuss pros and cons of such an investment. (LO2)
5. Give one example of application of machine learning/ artificial intelligence in the area of wealth management. Please justify as to how is it similar to or superior to human intelligence? (LO4)
6. What is meant by leveraged position in the stock market? Please give one example of a trader who succeeded and one who failed catastrophically in these circumstances. (LO5)
7. For a business to raise money from stock market, it usually needs to demonstrate three years of business, revenues and profitability. Thus, the startups in the Indian eco system, are unable to approach the stock market directly and hence raise money from a set of investors... Who are these investors? Why do these investors invest in these startups that are not profitable yet? (LO1)
8. How do you diversify your risk while using only passive investing to participate in the stock market? (LO2)